



SECURITIES & EXCHANGE COMMISSION OF PAKISTAN

Adjudication Department-I, Adjudication Division
NIC Building, 63-Jinnah Avenue, Blue Area, Islamabad

Through Courier

Ref: 2(251)SMD/Adj-I/2020/590

December 21, 2020

Riaz Ahmed Securities (Pvt.) Ltd (Through Chief Executive) G-9(A), Mezzanine Floor, ISE Tower, 55-B Jinnah Avenue, Blue Area, Islamabad.	The Compliance Officer Riaz Ahmed Securities (Pvt.) Ltd G-9(A), Mezzanine Floor, ISE Tower, 55-B, Jinnah Avenue, Blue Area, Islamabad.
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Sub: Order in the matter of Show Cause Notice under Regulation 4(a), 6(3)(c), 13(1), 13(7), 15(3), 18(c), Note (i) of Annexure-I to AML Regulations, 2018 read with Regulation 6(4) of AML Regulations, 2018 and clause (iii) of SRO 245(I)/2019 dated February 22, 2019, clause "D" of S.R.O.55(I)/2020 dated January 28, 2020

Dear Sir,

I am directed to enclose herewith a copy of the Order in the subject matter for your necessary compliance and record.

Yours Sincerely,

Shafiq-ur-Rehman
Addl. Joint Director (Adjudication-I)